(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(54) Title: METHOD AND SYSTEM FOR AUTHENTIFICATION OF A MOBILE USER VIA A GATEWAY
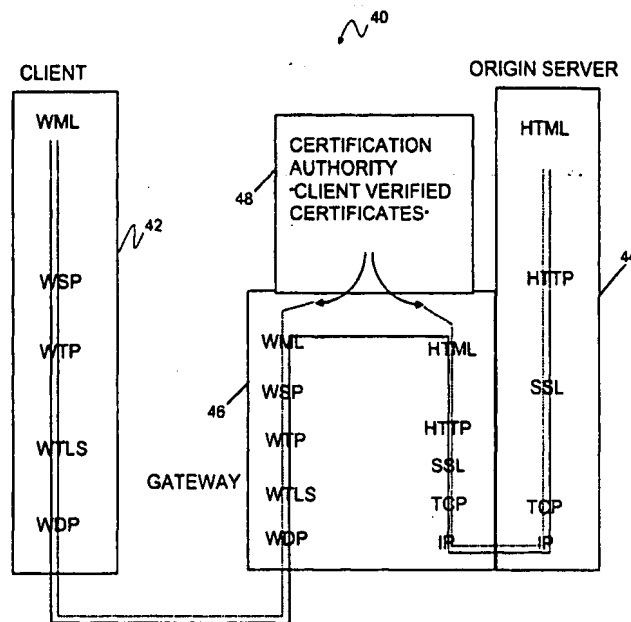
(57) **Abstract:** A method of authenticating a client (42) and a server (44) to each other via a gateway (46) in which the client uses a first encryption protocol between itself and the gateway and the server uses a second different encryption protocol between itself and the gateway, the method comprising the steps of:installing in the server that the gateway is a trusted certification authority (48);the gateway issuing a digital certificate authenticating the client; andthe server verifying the digital certificate in order to confirm to itself that the digital certificate comes from the trusted certification authority.

METHOD AND SYSTEM FOR AUTHENTIFICATION OF A MOBILE USER VIA A GATEWAY

The invention relates to authentication and is particularly, but not exclusively, related to a communications system. In one embodiment it relates to a wireless
5   communications system.

Communication over the Internet uses the TCP/IP Protocol Family. TCP refers to Transport Control Protocol and IP refers to Internet Protocol. TCP/IP refers to a large set of protocols specified by the Internet Engineering Task Force (IETF).
10 TCP/IP is the basic Internet and intranet communications protocol. It allows information to be sent from one computer to its destination through intermediate devices and separate networks.

The great flexibility of TCP/IP has led to its worldwide acceptance. At the same
15 time, the fact that TCP/IP allows information to pass through intermediate devices makes it possible for a third party to interfere with communications in the following ways:

Eavesdropping. Information remains intact, but its privacy is compromised. For example, a third party can discover credit card details or intercept classified
20 information.

Tampering. Information in transit is altered and then sent on to an intended recipient. For example, a third party can alter an order for goods.

Impersonation. Information passes to a third party which poses as an intended recipient. Impersonation can involve the third party pretending to be someone else
25 or misrepresenting itself as a real organisation when it is not. For example the third party could represent itself as a merchant and accept payments without sending any goods.

Although these problems exist in wired environments, they are of particular
30 concern in wireless environments, since third parties can receive wireless transmissions and make other wireless transmissions independently of a fixed location.

2

Encryption (cryptography) is used to deal with these problems. Encryption enables information to be rendered confidential so that it is unintelligible to an eavesdropper. In this way it provides privacy. A recipient can verify that

5   information has not been modified in transit or detect when it has been modified. A recipient can determine that information originates from its purported source, and so can be authenticated. In addition, encryption can provide non-repudiation which prevents a sender of information from claiming at a later date that it did not send the information.

10

One form of encryption is symmetric-key encryption. In symmetric-key encryption, an encryption key can be calculated from a decryption key and vice versa. With most symmetric algorithms, the same key is used for both encryption and decryption. Implementations of symmetric-key encryption can be highly efficient,

15   so that users do not experience any significant time delay as a result of the encryption and decryption procedures. Symmetric-key encryption also provides a degree of authentication, since information encrypted with one symmetric key cannot be decrypted with any other symmetric key.

20   Symmetric-key encryption is effective only if the symmetric keys are kept secret by the two parties involved. If anyone else discovers the keys, it affects both confidentiality and authentication. A person with an unauthorised symmetric key not only can decrypt messages sent with that key, but also can encrypt new messages and send them as if they came from one of the two parties who were

25   originally using the key.

Another form of encryption is public-key encryption. One version of public-key encryption is based on algorithms of RSA Data Security. Public-key encryption (also called asymmetric encryption) involves a pair of keys, a public key and a

30   private key, associated with a party that needs to authenticate its identity electronically or to sign or to encrypt data. The public key must be authentic. A public key may be published, while the corresponding private key must be kept

3

secret. A message encrypted by using the public key and the encryption algorithm can only be decrypted by using the private key. Therefore if a party has been given the public key, it can use this key to encrypt messages which can only be decrypted by using the private key. In this way, privacy or confidentiality is provided. Conversely, a message encrypted by using the private key can only be decrypted by using the public key. Therefore, if a party has the private key, the party can use this key to encrypt messages which can be decrypted by another party having the public key. Messages which can be decrypted with the public key can only come from a party possessing the corresponding private key. In this way, authentication or signature is provided.

Clearly it is important to ensure that any public key comes from its purported source. For example, a sender may generate a private and public key pair and send the public key to a receiver so that the receiver can be certain that messages signed by the private key are from the sender. However, if a third party intercepts the sender's public key and substitutes a public key from a private and public key pair of its own, then the third party can intercept messages from the sender, open them with the sender's public key and then alter them and digitally sign them with its own private key. On receiving such a digitally signed message the receiver will believe that it comes from the sender. This does not just apply to altered messages, but also applies to impersonation, that is a third party can send messages which are wholly fabricated.

To deal with this problem a certification authority is used as is shown in Figure 1. This arrangement shows a sender 12, a receiver 14 and a certification authority (CA) 16. The CA 16 is connected to the sender 12 and the receiver 14 and trusted by them both. The sender 12 has a private key (S-SK) and a public key (S-PK), the receiver 14 has a private key (R-SK) and a public key (R-PK) and the CA has a private key (CA-SK) and a public key (CA-PK). The CA-PK is provided to both the sender 12 and the receiver 14 in order for authenticated communication to occur. Clearly, the CA-PK must be provided in an authenticated way so that the sender 12 and the receiver 14 can be certain of its source.

4

The sender 12 generates a certificate-signing request (CSR), which is sent to the CA 16. The sender 12 proves its identity to the CA 16 (either by a user of the sender 12 sending some personal data or by a private code being used which is present in the sender 12). The sender 12 also sends its public key S-PK within the CSR. The CA 16 signs the personal data or the private code and the public key S-PK together with a unique digital signature in order to prove that they correspond. The signed certificate is returned to the sender 12. The receiver 14 carries out a similar procedure with the CA 16 in order to obtain its own signed certificate. When the sender 12 wants to talk to the receiver 14, a handshake between them is required in which the sender 12 and the receiver 14 exchange their digital certificates (this exchange is not encrypted).

The sender 12 and the receiver 14 can then verify the received signed certificates by using the CA-PK to ensure that they were authenticated by the CA 16 and so can be trusted. Since the sender 12 and the receiver 14 now each have the public key of the other, confidential and authenticated communication can take place. In reality the sender 12 and the receiver 14 may send an authenticated certificate (discussed below) rather than only sending authenticated public keys.

Generally CAs are arranged in a hierarchy originating from a common root. This hierarchy is called the public key infrastructure. This means that CAs can be authenticated to each other.

Compared with symmetric-key encryption, public-key encryption requires more computation and is therefore not always appropriate for large amounts of data. Therefore, RSA or some other form of public-key encryption is only used at the protocol handshake part of a communication in order to generate a master secret. This is shown in Figure 2 in which public key encryption is used to negotiate a session key. Since data communication is bi-directional, in the following reference will be made to a client and a server rather than a sender and a receiver.

5

Initially, both a client 22 and a server 24 have a public key from a certification authority (CA-PK). The client 22 and the server 24 each go into the certification authority to obtain an authenticated certificate, which is signed by the certification authority's CA-SK. In addition to a public key (for example of the client 22 or the

5 server 24), a certificate includes the name of the entity it identifies (in the form of a distinguished name), an expiration date (validity period), the name of the certification authority (in the form of a distinguished name, referred to as DN in the following) that issued the certificate, a serial number and other information. Most importantly, a certificate always includes the digital signature of the issuing

10 certification authority. The certification authority's digital signature allows the certificate to function as a "letter of introduction" for users who know and trust the certification authority but do not know the entity identified by the certificate.

A DN is a unique identifier for an individual, for example to identify a person or a

15 terminal node. If the DN is included in a digital certificate and the certificate is signed by a trusted CA, it is believed that the identified individual is real and that the individual having the private key corresponding to the public key in the certificate is this real individual. In effect, the certificate issued by the certification authority binds a particular public key in the name of the entity or entities which

20 the certificate identifies. Before a CA signs a certificate it verifies that the individual is the one that is claimed. This verification may include the analysis of the personal identification information, a signature or some other information. In this embodiment, the distinguished name (DN) identifies the client 22 or the server 24.

25 Although the digital certificate includes all of the elements described in the foregoing, only the public key and the party's DN will be discussed in the following.

Once the authenticated certificates have been exchanged, their signatures can be verified and thus authenticated by the client 22 and the server 24 using the CA-PK

30 in order that each party is enable to obtain the public key of the other. Each party generates a random number (RND_1 and RND_2) and encrypts it with the other party's public key. The encrypted random numbers are then transmitted to the

6

opposite party and can be decrypted using the private keys. Since both parties now possess both random numbers these can be combined to generate a master secret (also referred to as a session key). Once this has been generated the client 22 and the server 24 can communicate using a relatively fast symmetric-key

5   encryption method such as DES, 3DES or RC5. Figure 2 is shown and described simply to illustrate the principles involved. Particular implementations of the arrangement of Figure 2, for example using SSL or WTLS, may be more complicated in practice.

10   Problems can occur when an encryption method from TCP/IP and from another communication protocol having its own security layer are used together. This situation can occur, for example, when mobile terminals operating according to the Wireless Application Protocol (WAP) are used to access the Internet. In order to provide secure connection the Internet uses security protocol layers like Transport

15   Layer Security (TLS) (specified by RFC 2246) and Secure Sockets Layer (SSL) (a de facto standard developed by Netscape Corporation). The equivalent security protocol layer used in WAP networks is Wireless Transport Layer Security (WTLS) (standardised by the WAP Forum).

20   Although Internet and WAP networks are very similar, they are not compatible and so it is necessary to carry out content translation between Hypertext Markup Language (HTML) and Wireless Markup Language (WML) and between HTTP and WSP layers. The problem is illustrated with reference to Figure 3. A WAP stack 32 (embodied in a client) is connected to a TCP/IP stack 34 (embodied in a

25   server) via a gateway 36. The WAP stack 32 has protocol layers Wireless Datagram Protocol (WDP), Wireless Transport Layer Security (WTLS), Wireless Transaction Protocol (WTP) and Wireless Session Protocol (WSP). It provides WML content. The TCP/IP stack 34 has protocol layers Internet Protocol (IP), Transaction Control Protocol (TCP), Secure Sockets Layer (SSL) and Hypertext

30   Transport Protocol (HTTP). It provides HTML content.

In the case of WAP and TCP/IP stacks, if the WTLS and SSL layers are active,

7

and the gateway 36 does not possess the necessary keys to decrypt messages which are being transmitted, layers located above the encryption layers cannot be modified in the gateway and thus conversion between them (between WSP and HTTP or between WML and HTML) is not possible. Since the gateway cannot

5   access the required secret keys (usually they are stored in a physically tamper-resistant device in a way that they cannot be read out from them), another encryption scheme should be used. The client should authenticate the gateway and the gateway should authenticate the origin server and the server should authenticate the gateway and the gateway should authenticate the client. In this

10   scheme it is necessary that both parties trust the gateway. Since current security protocols (SSL, TLS, WTLS) are assuming end-to-end encrypted connections they cannot support this kind of encryption scheme.

According to a first aspect of the invention there is provided a method for

15   authenticating a first party and a second party to each other via a gateway, the method comprising the steps of:

providing the gateway with a gateway public key and a corresponding gateway private key;

providing the first party and the gateway with a common public key to authenticate

20   the source of information transferred from one to the other; and

providing the second party with the gateway public key to authenticate information received from the gateway, the gateway public key being different to the common public key.

25   Preferably the first party is a client. Preferably the second client is a server.

Preferably the second party is told that the gateway public key is a public key from a certification authority. Therefore, when the second party receives a certificate from the gateway which has been signed by the gateway private key, the second

30   party uses the gateway public key to verify that this signed certificate has come from the same source as the gateway public key and consequently accepts as if the certificate has come from the certification authority. In this way, the gateway is

8

able to include information in the certificate that it sends to the second party to convince the second party that the gateway is, in fact, the first party.

5   Preferably the common public key is the public key of a real and trusted certification authority.

According to a second aspect of the invention there is provided a method of authenticating a first party to a second party via a gateway the first party using an encryption protocol between itself and the gateway and the second party using an

10 encryption protocol between itself and the gateway the method comprising the steps of:

installing in the second party that the gateway is a trusted certification authority;

the gateway issuing a digital certificate authenticating the first party; and

the second party verifying the digital certificate in order to confirm to the second

15 party that the digital certificate comes from the trusted certification authority.

Preferably the encryption protocols between the first party and the gateway and between the second party and the gateway are different. Preferably the encryption protocol between the first party and the gateway is WTLS. Preferably the

20 encryption protocol between the gateway and the second party is SSL.

According to a third aspect of the invention there is provided a method for authenticating a client and a server to each other via a gateway, the method comprising the steps of:

25 providing the client with a client public key and a corresponding client private key;

providing the client with a client certificate;

providing the server with a server public key and a corresponding server private key;

providing the server with a server certificate;

30 providing the gateway with a gateway public key and a corresponding gateway private key; and

providing the gateway with a gateway certificate.

9

Preferably the client certificate is issued by a common CA. Preferably the client certificate contains the client's distinguished name and the client public key. The client certificate may be signed, and thus authenticated, by a trusted certificate
5   authority.

Preferably the server certificate contains the server's distinguished name and the server public key. It may also contain other items of information. This server certificate is signed and thus authenticated by a trusted certificate authority.
10   Preferably this certificate authority is the same as that which signed the client certificate. Alternatively it may be a different certification authority.

Preferably the gateway certificate contains the server's distinguished name and the gateway public key. This gateway certificate may be signed and thus
15   authenticated by a trusted certificate authority. Preferably this certificate authority is the same as that which signed the server certificate. Alternatively it may be a different certification authority. The trusted certificate authority may sign the gateway certificate (containing the server's distinguished name) only if the server and the gateway belong to the same organisation, since only one organisation
20   may possess the same distinguished name for different public keys.

Preferably the gateway simulates a certification authority. Preferably the gateway public key provided to the server is indicated to the server as being the public key of a certification authority. Preferably the gateway generates different public-
25   private key-pairs for every client, each key-pair comprising a generated client public key and a generated client private key. The gateway may generate different certificates in the name of different clients The gateway may sign these certificates with the gateway private key. Preferably these certificates contain the distinguished name of the client and the generated client public key. As an
30   extension these generated client certificates may include the original client certificate in order for the server to get the authentic client public key.

10

Preferably the method comprises the step of providing the server with an identifier indicating its origin. Preferably it comprises the step of providing the gateway with an identifier indicating a common origin with the server. Preferably it comprises the step of requesting certificates for the server and the gateway corresponding to
5   the common identifier of the server and the gateway, but containing different public keys belonging to the server and to the gateway respectively.

Preferably the method comprises a handshake in order to authenticate each party to the other and to negotiate one or more session keys. This may be a double
10 handshake. In one embodiment, the client and the gateway execute a common first handshake authenticating each other (using the client certificate and the gateway certificate) and negotiating a master secret (from which a session key can be calculated). Once the client is authenticated to the gateway the gateway may use the generated client private key and the generated client certificate
15 belonging to the authenticated client to execute a second handshake with the server (at the server side the server uses its server certificate). These two handshakes may overlap each other. As a result of the second handshake the gateway and the server may negotiate a common master secret (from which the session key can be calculated).
20

In this way, the invention provides that the client accepts the authentication from the gateway, because in the gateway certificate the server's distinguished name is included and the certificate is signed by a trusted certificate authority. Furthermore, the server accepts the authentication from the gateway, because in
25 the generated client certificate the client's distinguished name is included and the certificate is signed by the gateway, which the server accepts as a trusted certificate authority.

The handshake may be a handshake which occurs prior to communication
30 according to WTLS. It may be a handshake prior to SSL or TLS. Preferably it comprises a handshake procedure prior to communication by both WTLS and SSL or TLS.

The invention may also be considered to be a method of translating encrypted content according to a first protocol into content according to a second protocol or to be a method for enabling such translation to occur. Such a method requires

5   each party to be authenticated to the other via an intermediate gateway and so can use the methods of authentication according to the previous aspects of the invention.

According to a fourth aspect of the invention there is provided a transaction

10 system comprising a first party and a second party which communicate via a gateway communication between the parties requiring authentication of the first party to the second party using an encryption protocol between the first party and the gateway and an encryption protocol between the second party and the gateway wherein:

15 the gateway comprises digital certificate signing means to issue a digital certificate authenticating the first party;

the second party comprises digital certificate verification means corresponding to the digital certificate signing means of the gateway which verifies the digital certificate in order to confirm to the second party that the gateway signed digital

20 certificates are authentic.

Preferably the transaction system is a communications system.

According to a fifth aspect of the invention there is provided a gateway through

25 which a first party and a second party can communicate, communication between the parties requiring authentication of the first party to the second party using an encryption protocol between the first party and the gateway and an encryption protocol between the second party and the gateway, the gateway comprising digital certificate signing means to issue a digital certificate authenticating the first

30 party the signing means of the gateway corresponding to verification means of the second party which verifies the digital certificate in order to confirm to the second party that the gateway signed digital certificates are authentic.

According to a sixth aspect of the invention there is provided a computer program product for authenticating a first party to a second party via a gateway the first party using an encryption protocol between itself and the gateway and the second

5   party using an encryption protocol between itself and the gateway the computer program product comprising:

computer executable code means to indicate to the second party that the gateway is a trusted certification authority;

computer executable code means to enable the gateway to issue a digital

10   certificate authenticating the first party; and

computer executable code means to enable the second party to verify the digital certificate in order to confirm to the second party that the digital certificate was issued by a trusted certification authority.

15   According to a seventh aspect of the invention there is provided a method of content delivery from a content provider to a terminal through a communications network in which the content provider and the terminal authenticate each other via a gateway, the method comprising the steps of:

providing the gateway with a gateway public key and a corresponding gateway

20   private key;

providing the terminal and the gateway with a common public key to authenticate the source of information transferred from one to the other; and

providing the content provider with the gateway public key to authenticate information received from the gateway, the gateway public key being different to

25   the common public key.

According to an eighth aspect of the invention there is provided a method of content delivery from a content provider to a terminal through a communications network in which the content provider and the terminal authenticate each other via

30   a gateway the terminal using an encryption protocol between itself and the gateway and the content provider using an encryption protocol between itself and the gateway the method comprising the steps of:

13

the content provider determining that the gateway is a trusted certification authority;

the gateway issuing a digital certificate authenticating the terminal; and

the content provider verifying the digital certificate in order to confirm to the
5   content provider that the digital certificate comes from the trusted certification authority.

According to a ninth aspect of the invention there is provided a method of content delivery from a content provider to a terminal through a communications network
10   in which the content provider and the terminal authenticate each other via a gateway the method comprising the steps of:

providing the client with a client public key and a corresponding client private key;

providing the client with a client certificate;

providing the server with a server public key and a corresponding server private
15   key;

providing the server with a server certificate;

providing the gateway with a gateway public key and a corresponding gateway private key; and

providing the gateway with a gateway certificate.
20

The invention is suitable for telecommunications, and is particularly suitable for mobile terminals, such as mobile telephones, personal digital assistants, e-books or browsers. It is applicable to securely accessing the Internet by using mobile terminals. In one embodiment it can be used for providing end-to-end security
25   between a mobile terminal using Wireless Application Protocol (WAP) and a WWW server using Internet security protocols.

An embodiment of the invention will now be described with reference to the accompanying drawings in which:
30   Figure 1 shows communication between a sender and a receiver;

Figure 2 shows steps in generating a master secret;

Figure 3 shows communication via a gateway;

14

Figure 4 shows communication via a gateway according to the invention; and
Figure 5 shows a flowchart of steps.

5   Figure 4 shows a communications system 40 comprising a client 42 (such as a
mobile telephone) having a WAP stack, an origin server 44 having a TCP/IP stack,
a gateway 46 and a certification authority (CA) 48. The gateway 46 is owned by
the operator of the origin server 44, that is the origin server 44 and the gateway 46
are under common control. The CA 48 is accessible by the client 42, the origin
server 44 and the gateway 46 for authentication of a certificate belonging to each
10   of these parties. The origin server 44 is located in a communications network. In
this embodiment of the invention, it is located in a wireless telecommunications
network.

The CA 48 is an independent authority. It issues a digital certificate certifying that
15   a party has proved its identity to the CA. Since each party trusts the CA, they
accept digital certificates which have been digitally signed by the CA which show
that the other parties have been personally identified by the CA. The CA 48 has a
private and public key pair CA-SK and CA-PK.

20   The client 42 has a key pair comprising a public key (C-PK) and a private key (C-
SK). It has a certificate comprising the following information:
(i) the C-PK;
(ii) a validity period for the certificate;
(iii) the client's DN;
25   (iv) the issuer's DN (the CA's DN); and
(v) digital signature of the above information signed by the issuer's private key (the
CA-SK).

The client 42 also has the CA-PK from the CA 48. This may be pre-installed, for
30   example on manufacture of the client or manufacture of a part of the client (for
example manufacture or configuration of a SIM card), or it may be installed at a
later date.

The origin server 44 has a key pair comprising a public key (S-PK) and a private key (S-SK). It has a certificate comprising the following information:

(i) the S-PK;

5   (ii) a validity period for the certificate;

(iii) the origin server's DN,

(iv) the issuer's DN (the CA's DN); and

(v) digital signature of the above information signed by the issuer's private key (CA-SK).

10

Instead of having the CA-PK from the CA 48, the origin server 44 has a public key from the gateway 46 as will be explained below.

The gateway 46 has a key pair comprising a public key (G-PK) and a private key

15   (G-SK). It has a certificate comprising the following information:

(i) the G-PK;

(ii) a validity period for the certificate;

(iii) the gateway's DN (which is the same or at least belongs to the same organization as the server);

20   (iv) the issuer's DN (the CA's DN); and

(v) digital signature of the above information signed by the issuer's private key (CA-SK).

The gateway 46 also has the CA-PK from the CA 48. The CA-PK is presented to

25   the gateway 46 in a trustworthy way. For example, the CA-PK may be loaded into the gateway 46 by floppy disk.

The above relates to an embodiment in which all certificates are issued by the same CA. However, there may be several CAs. For example, there may be a CA-

30   C which signs the client's certificate with a private key CA-C-SK, a CA-G which signs the gateway's certificate with a private key CA-G-SK and a CA-S which signs the server's certificate with a private key CA-S-SK. The public keys CA-C-

16

PK and CA-S-PK are given to the gateway and the public key CA-G-PK is given to the client. The gateway public key G-PK is given to the server.

As is mentioned above, the G-PK is loaded into the origin server 44 rather than
5   the CA-PK. The origin server 44 is informed that G-PK is actually the CA-PK. Since the origin server 44 and the gateway 46 are under control of the same organisation and may be located in the same (physically protected) location (and perhaps even in the same machine), loading the CA-PK into the gateway 46 and the G-PK into the origin server 44 as the CA-PK is straightforward. The public
10   keys may be loaded directly or may be provided over a connection. All that is important is that the G-PK should be downloaded in an authentic way.

It may be the case that the origin server 44 and the gateway 46 are not under the control of the same organisation. This would result in a lower level of security
15   although this might be acceptable in certain circumstances.

It should be understood that, in the certificates of the origin server 44 and the gateway 46, extensions such as the validity period and the issuer's DN are identical. In addition, the origin server's DN and the gateway's DN are identical.
20   However, in one embodiment of the invention, the gateway's DN and the origin server's DN differ slightly but are identical enough to indicate that the DNs represent the same organisation. For example, the origin server's DN may represent a bank server and the gateway's DN may represent another server within the same bank.

25

Operation of the system will now be described with reference to the flowchart of steps of Figure 5. A protocol handshake is executed between the client 42 and the gateway 46 as follows. The client's certificate (signed by the CA-SK of the CA 48) is sent to the gateway 46. The gateway 46 is able to verify this signed certificate
30   by using the CA-PK and thus it obtains the C-PK, the origin of which is authenticated by the CA 48. In response, the gateway 46 sends its certificate (signed by the CA-SK) to the client 42. The client 42 is able to verify this signed

certificate by using the CA-PK and thus it obtains the G-PK, the origin of which is authenticated by the CA 48. Since the gateway certificate has the origin server's DN, the client believes that the gateway 46 is the origin server 44. Since the client 42 and the gateway 46 each have the public key of the other, they can

5   communicate in a way which is authentic and confidential and agree on a master secret according to the security protocol which is to be used (for example WTLS). The client 42 and the gateway 46 can now communicate by using WTLS encryption.

10   A protocol handshake is now executed between the origin server 44 and the gateway 46 as follows. The gateway 46 generates a public key G-C-PK and a private key G-C-SK pair for each client. It is preferred to use a client-specific key-pair in order to provide different keys for different clients (for the purposes of non-repudiation).

15

The gateway 46 generates a new certificate including the generated client public key (G-C-PK) and the client's DN. The new certificate is signed by the G-SK of the gateway 46 and is sent to the origin server 44. In this way, the gateway 46 generates a certificate that the origin server will accept as if it came from the

20   client. The origin server 44 is able to verify this signed certificate by using the G-PK and thus it obtains the generated client public key (G-C-PK) and the client's DN. (Note: since the gateway 46 does not participate in the certification hierarchy, this certificate will only be accepted by the origin server 44 and will be invalid to any other party, so the gateway 46 cannot impersonate the client in other

25   situations.)

Therefore, the origin server 44 believes that it is communicating with the client 42 because the generated client certificate has the client's DN and the internal variables of the SSL layer indicate that there is a secure connection to the client.

30   In this way programs at the application level in the origin server 44 will not notice any differences and will accept the authentication. The gateway believes that client's DN relates to a party that it should trust because the original client

18

certificate is signed by a trusted CA The origin server 44 has had its certificate signed by the CA-SK and sends this signed certificate to the gateway 46. The gateway 46 is able to verify this signed certificate by using the CA-PK and thus it obtains the S-PK, the origin of which is authenticated by the CA 48.

5

Since the origin server 44 has the generated client public key of the gateway (in this case G-C-PK) and the gateway 46 has the public key of the origin server (S-PK), the origin server 44 and the gateway 46 can communicate in a secure way and agree on a master secret in a way similar to that described above in relation

10   to Figure 2. The origin server 44 and the gateway 46 can now communicate using SSL (or TLS) encryption.

Therefore, following the procedure discussed above, the client 42 and the origin server 44 can now both communicate securely with the gateway 46. Messages

15   sent by either can be decrypted by the gateway 46, translated between WML and HTML in the gateway 46, and then re-encrypted in the name of the sender before being sent to the intended recipient. The gateway 46 is treated by both the client 42 and the origin server 44 as a trusted interpreter in that both parties will talk directly to it over an SSL or WTLS secure connection.

20

It should be noted in the foregoing that the gateway 46 operates in relation to the origin server 44 as a certification authority. However, it should be noted that in this role, the gateway 46 does not participate in the certification hierarchy and does not operate as an official certification authority to other parties except the server

25   44. On the other hand the gateway 46 operates in relation to the client 42 as a server and has a signed certificate from a real certification authority, that is the CA 48. The origin server 44 and the gateway 46 are under common control and so the origin server 44 can trust the gateway 46 and the client can accept that they belong to the same organisation.

30

In a preferred embodiment, the gateway 46 runs on the same machine as the origin server 44, that is, it has the same IP address, distinguished name and

certification. In this case, the client 42 will not notice anything unusual about the translation.

If speed of establishing secure communication between the client 42 and the

5   origin server 44 is important, scalable hardware-based algorithms can be used in the gateway. Alternatively or additionally, generated client keys could be calculated before the actual handshake.

In the same way that a client-specific key-pair can be used between the gateway

10   and the server, a server-specific gateway can be used between the client and the gateway. This could be the case if there are a number of different keys for different servers.

Since the gateway decrypts and encrypts all messages, for certain operations,

15   such as making payments, it may be preferred to use another application level solution. In this case, the gateway 46 may insert the client's original certification into the generated client-certification as an attachment, so that real end-to-end authentication above the application level may be performed. The original certificate may then be used for evaluating digital signatures.

20

One can easily see that this solution is independent from the differences between WTLS and SSL and works also in cases where the client or the server or both are not authenticated. In other words, in SSL AND WTLS, client or server side authentication is optional. If we disable one of these authentications the approach

25   provided by the invention will also be able to handle that case.

An advantage of the invention is that it does not require changes to be made either in WAP communication between the client 42 and the gateway 46 or in TCP/IP communication between the origin server 44 and the gateway 46. In this

30   way it is compatible with appropriate standards.

The invention provides end-to-end security between Internet servers and WAP clients in a way which enables seamless conversion between the SSL and the WTLS layers of the respective protocol stacks.

5   Particular implementations and embodiments of the invention have been described. It is clear to a person skilled in the art that the invention is not restricted to details of the embodiments presented above, but that it can be implemented in other embodiments using equivalent means without deviating from the characteristics of the invention. The scope of the invention is only restricted by the
10   attached patent claims.

21

Claims

1. A method of authenticating a first party (42) to a second party (44) via a gateway (46) the first party using an encryption protocol between itself and the gateway and the second party using an encryption protocol between itself and the
5   gateway the method comprising the steps of:
     installing in the second party that the gateway is a trusted certification authority (48);
     the gateway issuing a digital certificate authenticating the first party; and
     the second party verifying the digital certificate in order to confirm to the second
10   party that the digital certificate comes from the trusted certification authority.

2. A method according to claim 1 in which the encryption protocols between the first party (42) and the gateway (46) and between the second party (44) and the gateway are different.
15

3. A method according to claim 2 in which the encryption protocol between the first party (42) and the gateway (46) is WTLS and the encryption protocol between the gateway and the second party (44) is SSL.

20   4. A method according to any preceding claim in which a gateway public key is provided to the second party (44) and is indicated to the second party (44) as being the public key of the trusted certification authority (48).

5. A method according to any preceding claim in which the gateway (46)
25   generates different public-private key-pairs for a plurality of first parties, each key-pair comprising a generated first party (42) public key and a generated first party private key.

6. A method according to claim 5 in which the gateway (46) generates different
30   certificates in the name of different first parties.

7. A method according to claim 6 in which the gateway (46) signs these different

22

certificates with a gateway private key.

8. A method according to claim 6 or claim 7 in which these different certificates contain a distinguished name of the first party (42) and a first party public key.

9. A method according to any preceding claim which comprises the step of providing the second party (44) with an identifier indicating its origin.

10. A method according to claim 9 which comprises the step of providing the gateway (46) with an identifier indicating a common origin with the second party (44).

11. A method according to claim 10 which comprises the step of requesting certificates for the second party (44) and the gateway (46) corresponding to the common identifier of the second party and the gateway, but containing different public keys belonging to the second party and to the gateway.

12. A method according to any preceding claim which comprises a handshake in order to authenticate each party to the other and to negotiate one or more session keys.

13. A method according to claim 12 in which the handshake is a double handshake.

14. A method according to any preceding claim in which the first party (42) and the gateway (46) execute a common first handshake authenticating each other and negotiate a master secret.

15. A method according to claim 14 in which the gateway (46) uses a first party (42) private key and the first party certificate to execute a second handshake with the second party (44).

16. A method according to claim 14 or claim 15 in which at least one of the handshakes is a handshake which occurs prior to communication according to WTLS.

17. A method according to claim 14 or claim 15 in which at least one of the handshakes is a handshake which occurs prior to SSL or TLS.

18. A method according to any preceding claim in which the first party (42) is a client and the second party (44) is a server.

19. A method according to any preceding claim which comprises the step of providing the first party (42) and the gateway (46) with a common public key to authenticate the source of information transferred from one to the other the common public key being the public key of the trusted certification authority (48).

20. A transaction system (40) comprising a first party (42) and a second party (44) which communicate via a gateway (46) communication between the parties requiring authentication of the first party to the second party using an encryption protocol between the first party and the gateway and an encryption protocol between the second party and the gateway wherein:

the gateway comprises a digital certificate signer (48) to issue a digital certificate authenticating the first party;

the second party comprises a digital certificate verifier corresponding to the digital certificate signer of the gateway which verifies the digital certificate in order to confirm to the second party that the gateway signed digital certificates are authentic.

21. A transaction system (40) according to claim 20 comprising a communications system.

22. A transaction system (40) according to claim 21 comprising a wireless telecommunications system having a network and a plurality of mobile terminals.

24

23. A gateway (46) through which a first party (42) and a second party (44) can communicate, communication between the parties requiring authentication of the first party to the second party using an encryption protocol between the first party

5   and the gateway and an encryption protocol between the second party and the gateway, the gateway comprising a digital certificate signer (48) to issue a digital certificate authenticating the first party the signer of the gateway corresponding to a verifier of the second party which verifies the digital certificate in order to confirm to the second party that the gateway signed digital certificates are authentic.

10

24. A gateway (46) according to claim 23 which comprises a server (44).

25. A computer program product for authenticating a first party (42) to a second party (44) via a gateway (46) the first party using an encryption protocol between

15   itself and the gateway and the second party using an encryption protocol between itself and the gateway, the computer program product comprising:
computer executable code to indicate to the second party that the gateway is a trusted certification authority (48);
computer executable code to enable the gateway to issue a digital certificate

20   authenticating the first party; and
computer executable code to enable the second party to verify the digital certificate in order to confirm to the second party that the digital certificate has been issued by the trusted certification authority.

25   26. The computer program product according to claim 25 which is stored on a computer readable medium.

27. A method of content delivery from a content provider (44) to a terminal (42) through a communications network in which the content provider and the terminal

30   authenticate each other via a gateway (46) the terminal using an encryption protocol between itself and the gateway and the content provider using an encryption protocol between itself and the gateway the method comprising the

25

steps of:

the content provider determining that the gateway is a trusted certification authority (48);

the gateway issuing a digital certificate authenticating the terminal; and

5   the content provider verifying the digital certificate in order to confirm to the content provider that the digital certificate comes from the trusted certification authority.

28. A method for authenticating a first party (42) and a second party (44) to each

10   other via a gateway (46), the method comprising the steps of:

providing the gateway with a gateway public key and a corresponding gateway private key;

providing the first party and the gateway with a common public key to authenticate the source of information transferred from one to the other; and

15   providing the second party with the gateway public key to authenticate information received from the gateway, the gateway public key being different to the common public key.

Fig.1

Fig. 2

3/5

WAP SIDE

INTERNET SIDE

WML

HTML

GATEWAY

32

36

34

WSP

WSP

HTTP

HTTP

WTP

WTP

SSL

WTLS

SSL

TCP

WTLS

TCP

WDP

IP

WDP

IP

Fig. 3

4/5

40

CLIENT   ORIGIN SERVER

| WML | | HTML |

CERTIFICATION
AUTHORITY
48 "CLIENT VERIFIED
CERTIFICATES"

42   44

WSP   WML   HTML   HTTP

WTP   WSP   SSL
                          46   WTP   HTTP
WTLS   SSL
                                 WTLS   TCP   TCP
         GATEWAY
WDP   WDP   IP   IP

Fig. 4

```
        ( START )                        ( A )
            │                              │
┌───────────────────────┐      ┌───────────────────────┐
│THE CA SIGNS THE CLIENT'S│     │THE GATEWAY GENERATES  │
│CERTIFICATE WITH THE CA-SK│    │A CLIENT-SPECIFIC KEY-PAIR│
└───────────────────────┘      │G-C-PK AND G-C-SK      │
            │                   └───────────────────────┘
┌───────────────────────┐                  │
│THE CLIENT SENDS ITS SIGNED│   ┌───────────────────────┐
│CERTIFICATE TO THE GATEWAY│    │THE GATEWAY SIGNS A    │
└───────────────────────┘      │CERTIFICATE INCLUDING  │
            │                   │G-C-PK WITH THE G-SK   │
┌───────────────────────┐      └───────────────────────┘
│THE GATEWAY DECRYPTS THE│                  │
│SIGNED CERTIFICATE WITH THE│   ┌───────────────────────┐
│CA-PK TO OBTAIN THE C-PK│      │THE GATEWAY SENDS ITS  │
└───────────────────────┘      │SIGNED CERTIFICATE TO  │
            │                   │THE ORIGIN SERVER      │
┌───────────────────────┐      └───────────────────────┘
│THE CA SIGNS THE GATEWAY'S│               │
│CERTIFICATE WITH THE CA-SK│    ┌───────────────────────┐
└───────────────────────┘      │THE ORIGIN SERVER DECRYPTS│
            │                   │THE SIGNED CERTIFICATE WITH│
┌───────────────────────┐      │THE G-PK TO OBTAIN THE G-C-PK│
│THE GATEWAY SENDS ITS  │      └───────────────────────┘
│SIGNED CERTIFICATE     │                  │
│TO THE CLIENT          │      ┌───────────────────────┐
└───────────────────────┘      │THE CA SIGNS THE ORIGIN│
            │                   │SERVER'S CERTIFICATE   │
┌───────────────────────┐      │WITH CA-SK             │
│THE CLIENT DECRYPTS THE│      └───────────────────────┘
│SIGNED CERTIFICATE WITH│                  │
│THE CA-PK TO OBTAIN THE│      ┌───────────────────────┐
│G-PK                   │      │THE ORIGIN SERVER SENDS│
└───────────────────────┘      │ITS SIGNED CERTIFICATE TO│
            │                   │THE GATEWAY            │
┌───────────────────────┐      └───────────────────────┘
│THE CLIENT AND THE GATEWAY│               │
│COMMUNICATE SECURELY   │      ┌───────────────────────┐
│TO GENERATE A MASTER   │      │THE GATEWAY DECRYPTS   │
│SESSION KEY            │      │THE SIGNED CERTIFICATE │
└───────────────────────┘      │WITH THE CA-PK TO OBTAIN│
            │                   │THE S-PK               │
┌───────────────────────┐      └───────────────────────┘
│THE CLIENT AND THE     │                  │
│GATEWAY COMMUNICATE    │      ┌───────────────────────┐
│BY WTLS                │      │THE ORIGIN SERVER AND THE│
└───────────────────────┘      │GATEWAY COMMUNICATE    │
            │                   │SECURELY TO GENERATE A │
          ( A )                 │MASTER SESSION KEY     │
                                └───────────────────────┘
                                           │
                                ┌───────────────────────┐
                                │THE ORIGIN SERVER AND THE│
                                │GATEWAY COMMUNICATE BY SSL│
                                └───────────────────────┘
                                           │
                                        ( STOP )
```
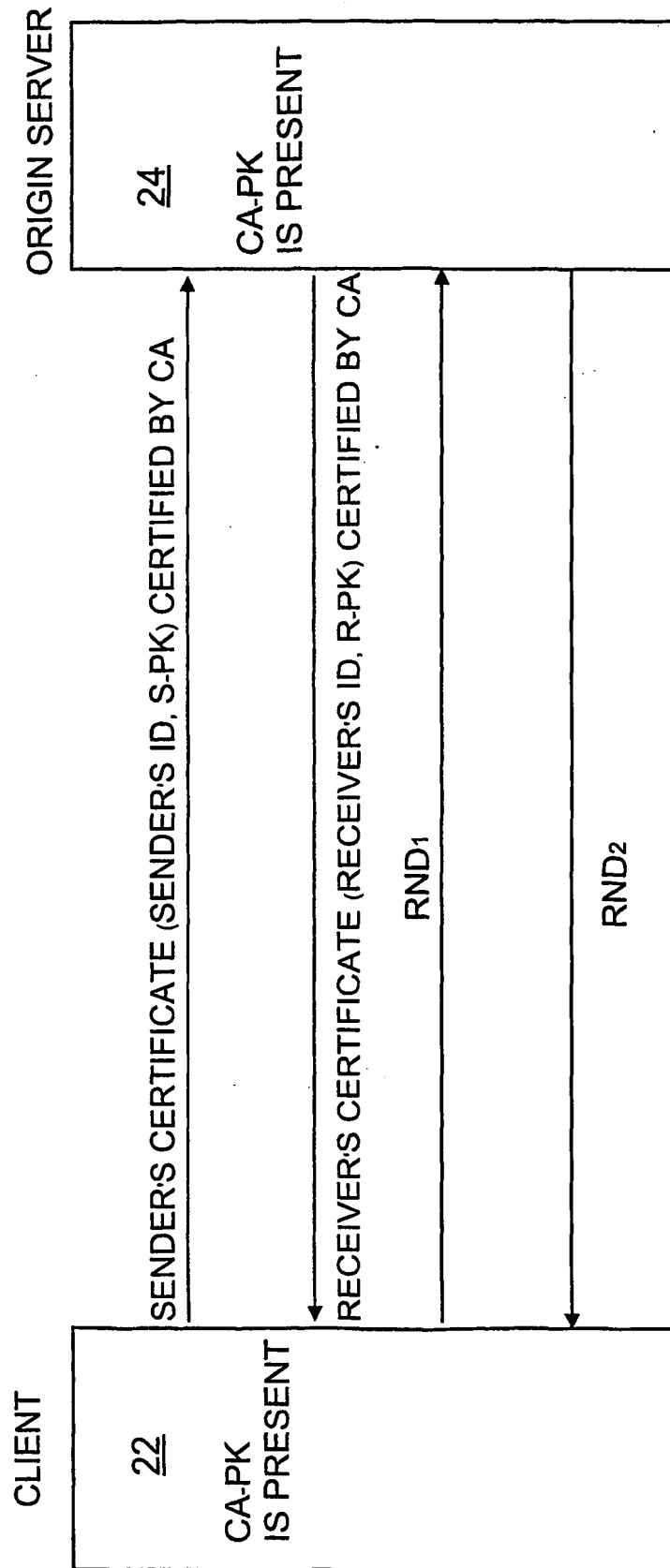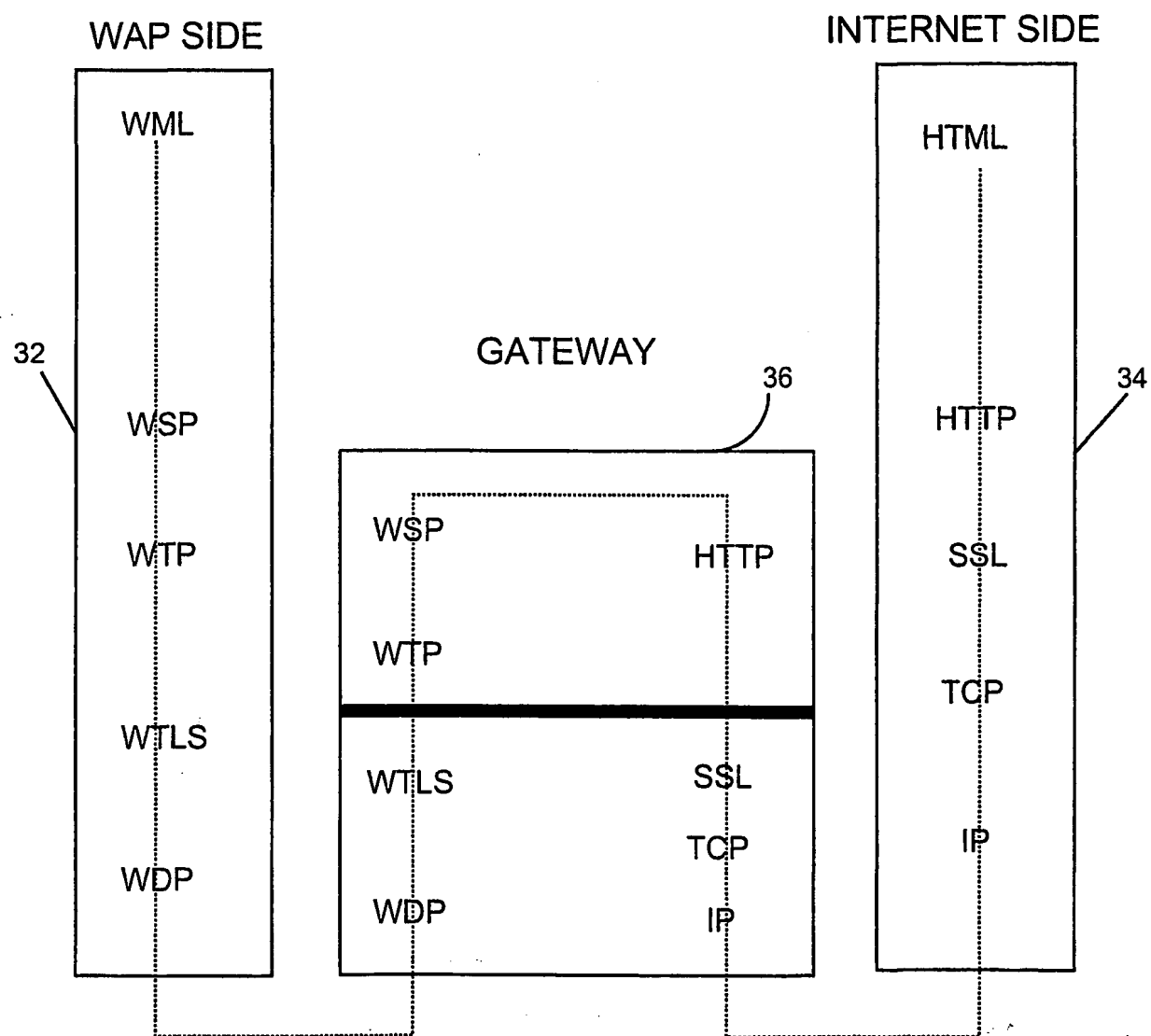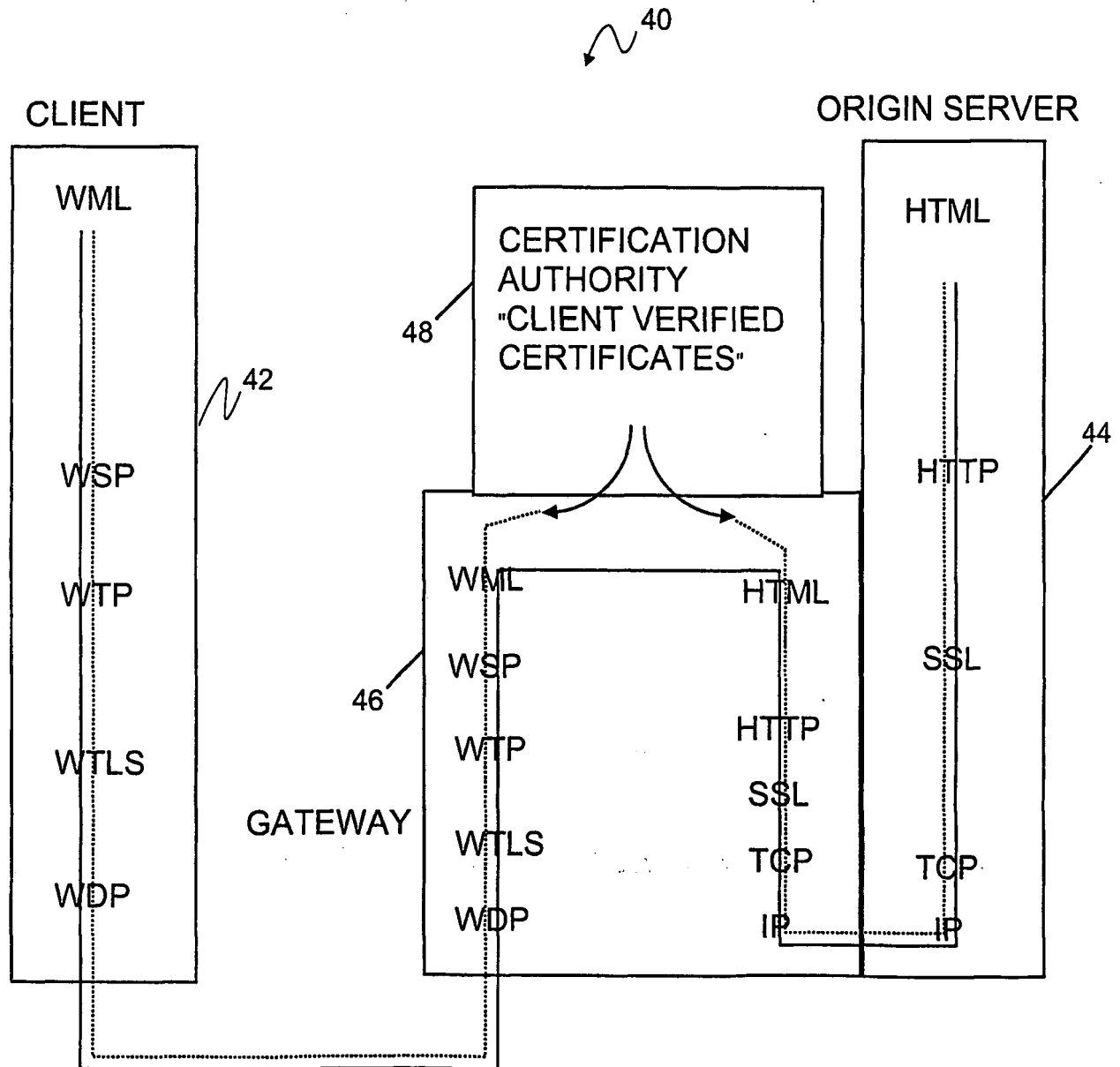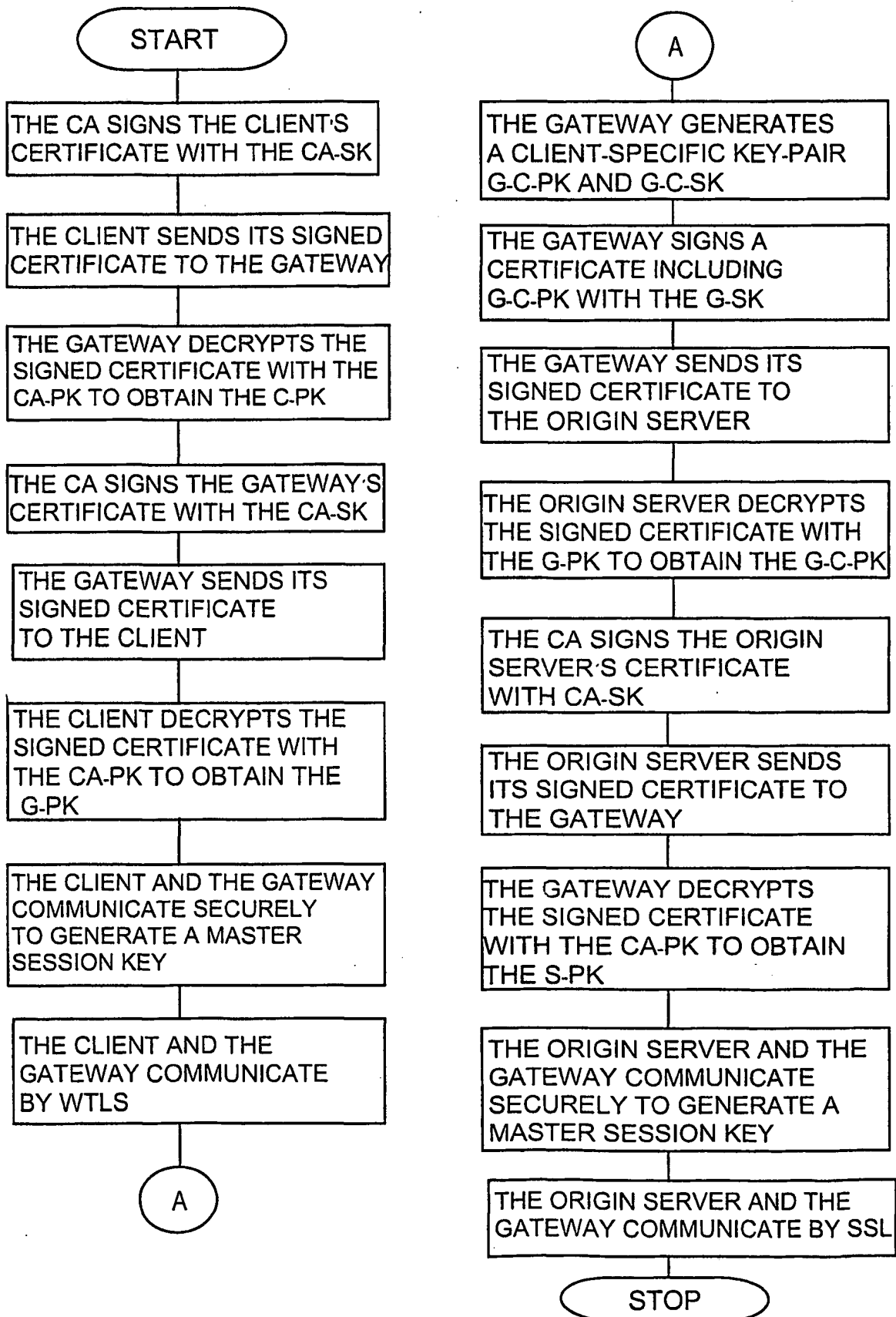
Fig. 5

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04L 29/06, H04L 12/22, H04L 9/32, H04Q 7/38

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H04L, H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-INTERNAL, WPI DATA, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 0002358 A1 (NOKIA MOBILE PHONES LIMITED), 13 January 2000 (13.01.00), page 3, line 13 - page 4, line 15; page 12, line 23 - page 14, line 10, figures 3-4, claim 1, abstract	1-28
A	US 5371794 A (DIFFIE, W. ET AL.), 6 December 1994 (06.12.94), column 1, line 44 - column 2, line 17, figure 2, claim 1, abstract	1-28
P,X	EP 1094682 A1 (TELEFONAKTIEBOLAGET LM ERICSSON (PUBL)), 25 April 2001 (25.04.01), column 5, line 26 - line 37; column 6, line 23 - line 46, figures 1,4, claims 1,6,18, abstract	1-28

| X | Further documents are listed in the continuation of Box C. | | X | See patent family annex. |

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
1 November 2001	2 2. 11. 2001

Name and mailing address of the ISA/	Authorized officer
European Patent Office	Pär Heimdal/LR
Facsimile No.	Telephone No.

C (Continuation).   DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	EP 1111870 A2 (NEC CORPORATION), 27 June 2001 (27.06.01), column 4, line 5 - line 49, figure 1, claims 1-2, abstract	1-28

Patent document cited in search report			Publication date	Patent family member(s)		Publication date
WO	0002358	A1	13/01/00	AU 4781899 A		24/01/00
				EP 1095492 A		02/05/01
US	5371794	A	06/12/94	EP 0651533 A		03/05/95
				JP 7193569 A		28/07/95
				US RE36946 E		07/11/00
EP	1094682	A1	25/04/01	AU 1133001 A		08/05/01
				WO 0131877 A		03/05/01
EP	1111870	A2	27/06/01	JP 2001186126 A		06/07/01
				US 2001005884 A		28/06/01